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UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
30406Total Pages in this Submission
3**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application**
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

CELL INTERNALIZED PEPTIDE-DRUG CONJUGATES

and invented by:

TERUNA J. SIAHAAN, HELENA YUSUF-MAKAGIANSAR, MEAGAN ANDERSON and RONG "CHRISTINE" XUIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 33 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal b. ☐ Informal Number of Sheets 13 + 2 sets of photographs
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☒ Genetic Sequence Submission *(if applicable, all must be included)*
- a. ☒ Paper Copy
- b. ☒ Computer Readable Copy
- c. ☒ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL618531779US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: one
17. ☐ Additional Enclosures (please identify below):

Assignment Recordation Sheet; Assignment; \$40.00; Petition to Accept Colored Photographs;
\$130.00

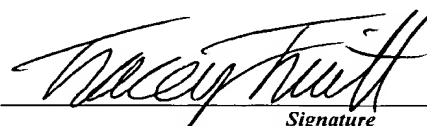
Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	34	- 20 =	14	x \$9.00	\$126.00
Indep. Claims	5	- 3 =	2	x \$39.00	\$78.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$345.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$549.00

- ☒ A check in the amount of \$549.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-0522 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 31, 2000


Signature
Tracey S. Truitt, Reg. No. 43,205

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): SIAHAAN, TERUNA J. et al.

Docket No.
30406

Serial No.	Filing Date	Examiner	Group Art Unit
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Invention: CELL-INTERNALIZED PEPTIDE-DRUG CONJUGATES

I hereby certify that this Express Mail Certification; Patent Transmittal Letter (Small Entity); Application for U.S. Letters Patent including specification, claims and abstract page (33 pgs); 13 sheets of formal drawings + 2 sets of photographs; \$549 Filing Fee; Combined Declaration and Power of Attorney (signed); Verified Statement Claiming Small Entity Status (signed); Assignment including transmittal sheet (3 pgs) with \$40.00 recordation fee; sequence listing; Rule 1.821 statement; Petition to Accept Colored Photographs; \$130.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on August 1, 2000.

Jen Krygiel

(Typed or Printed Name of person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL618531779US

("Express Mail" Mailing Label Number)

15864 U.S. PTO

09/629719



08/01/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

SIAHAAN, TERUNA J. et al.

Serial No.:

Filed:

CELL INTERNALIZED PEPTIDE-DRUG
CONJUGATES

Docket No. 30406

Group Art Unit No.

Examiner:



Assistant Commissioner of Patents
Washington, D.C. 20231

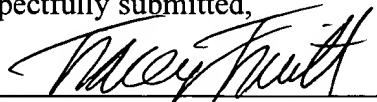
Sir:

STATEMENT

Rule 1.821(f) requires a Statement to the effect that the contents of the Sequence Listing and the Computer Readable Form are the same. Such is the case for the Sequence Listing and the Computer Readable Form that accompany this Statement.

Respectfully submitted,

By


Tracey S. Truitt, Reg. No. 43,205
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
816/474-9050

ATTORNEYS FOR APPLICANT(S)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

SIAHAAN, TERUNA J. et al.

Serial No.:

Filed:

CELL INTERNALIZED PEPTIDE-DRUG
CONJUGATES

Docket No. 30406

Group Art Unit No.

Examiner:

JC864 U.S. PTO
09/629719
08/01/00

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

PETITION TO THE COMMISSIONER UNDER
37 CFR § 1.84(b)(20) TO ACCEPT COLOR DRAWINGS OR PHOTOGRAPHS

Applicant hereby petitions the Commissioner of Patents and Trademarks, under 37 CFR § 1.84(b)(20) to accept these colored photographs into the above referenced application.

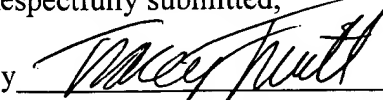
Enclosed herewith are three (3) sets of photographs to be entered into the above application, together with the appropriate fee of \$130.00 pursuant to 37 CFR 1.17(i). Applicant requests favorable consideration of this petition.

The reason for the need for color photographs in this application is that colored photographs enhance the level of detail which can be seen in the confocal microscopy photographs.

Any additional fees which are due in connection with this Petition should be applied against our Deposit Account No. 19-0522.

Respectfully submitted,

By



Tracey S. Truitt, Reg. No. 43,205
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
816/474-9050

08/09/2000 NVILLARI 00000044 09629719

04 FC:122

130.00 OP

ATTORNEYS FOR APPLICANT(S)